

**Enterprise Privacy & Security Officer
Enterprise Privacy & Protection Office
June 2011**

Item 5a – Attachment A

Board Assignment Status

Assignment Date	Request / Subject	Due Date	Status / Completed Date
	Nothing to Report		

Other Items of Interest

Item of Interest	Status / Completed Date
1. Governance	The annual Agency Designation Letter (SIMM 70A) and Agency Risk Management and Privacy Program Compliance Certification (SIMM 70C) were approved by the California Technology Agency Office of Information Security as part of CalPERS Security Compliance Reporting.
2. Planning	<p>ITSB and the EPPO are jointly sponsoring a project to develop an information security roadmap for CalPERS consistent with our business plan. This will be used to develop a 3 year information security plan for the organization.</p> <ul style="list-style-type: none">• A draft Information Security Roadmap has been distributed to project team members to gather feedback. The draft will be discussed on June 6.• A revised, Security Roadmap Final Draft will be delivered June 14 to the Information Security Roadmap steering committee for review.• June 22 meeting to seek steering committee feedback on the Security Roadmap Final Draft• June 26-30 Route final version for steering committee members approval. <p>Present to Executive Risk Committee for review and consensus.</p>

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3. Policy	<ul style="list-style-type: none"> Revisions to the following policies are being considered: <ul style="list-style-type: none"> ➤ Non-Disclosure Agreements ➤ Data Classification ➤ Data Owners and Custodians New policies pending: <ul style="list-style-type: none"> ➤ Elevated Access to Workstations ➤ Electronic Records discovery ➤ Instant Messaging <p>Developing new procedures for vetting new and changed information security practices and policy including presentation to Executive Risk Committee.</p>
4. Information Systems (IS) Risk Management	<ul style="list-style-type: none"> An IS risk assessment of Employer Services Division is complete and a report has been prepared. Will be included in report to Executive Risk Committee. A risk assessment of the agency's e-discovery and electronic information management capability is complete and a report is being prepared. A security controls assessment of Apple iPad technology is underway. Risk assessments of the Legal Office and the Diversity Office are underway. Application vulnerability assessments of PSR software deliverables are being carried out on an ongoing basis. The Risk Assessment and Management Program collaborated with ITSB to give developers vulnerability assessment tools. Using the tools will give CalPERS better assurance that our custom-built software applications are secure.
5. Compliance	<ul style="list-style-type: none"> Guardium – The PSR project has submitted a design inquiry to use Guardium in both production-like and production environments. The project is going through the design steps now to have this tested and implemented by September 19, 2011. Symantec – The project to implement the Control Compliance Suite (CCS) which provides a centralized means of managing and monitoring the compliance of individual network components with established configurations in a test environment is completed. <u>Update</u> the decision to move this software into production is being reviewed, pending completion of the Security Roadmap to determine whether this initiative is in alignment with priorities set forth within. Symantec – The project to implement the Enterprise Vault (EVault) which enables CalPERS ability to respond in a timely manner to legal e-discovery actions in a test environment is completed. <u>Update</u> the decision to move this software into production is being reviewed, pending completion of the Security Roadmap to determine whether this initiative is in alignment with priorities set forth within.

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	<ul style="list-style-type: none"> • Immediate Action Taken – During this quarter, EPPO and ITSB reviewed and took appropriate action on 21 Cyber Security Advisories issued by the California Office of Information Security.
6. Awareness	<ul style="list-style-type: none"> • A draft version of the revised Information Security Handbook has been crafted and is currently being reviewed and edited before submission to the Office of Public Affairs (PAOF) for electronic file publication.
7. Incidents	<p>See Attachment 1 – Internal See Attachment 2 - External</p>